

## The Characteristics and Clinical Outcomes of Direct Oral Anticoagulants in Patients with Atrial Fibrillation and Chronic Kidney Disease: From the Database of A Single-Center Registry

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### Abstract

**Background:** This study aimed to evaluate the characteristics and clinical outcomes (major bleeding [MB] and thromboembolic events [TEEs]) of atrial fibrillation (AF) patients with chronic kidney disease (CKD) who received direct oral anticoagulant (DOAC) therapy.

**Methods:** Data prospectively collected from a single-center registry containing 2,272 patients with DOAC prescription for AF (apixaban [n=1,014], edoxaban [n=267], rivaroxaban [n=498], and dabigatran [n=493]) were retrospectively analyzed. Patients were monitored for two years and classified into the CKD (n=1460) and non-CKD groups (n=812). MB and TEEs were evaluated.

**Results:** The mean age was 72±10 years, with the CHADS<sub>2</sub>, CHA<sub>2</sub>DS<sub>2</sub>-VASc, and HAS-BLED scores being 1.95±1.32, 3.21±1.67, and 1.89±0.96, respectively. Incidence rates of MB and TEEs were 2.3%/year and 2.1%/year, respectively. The CKD group was older and had lower body weight and higher CHADS<sub>2</sub>, CHA<sub>2</sub>DS<sub>2</sub>-VASc, and HAS-BLED scores than the non-CKD group. Kaplan–Meier curve analysis revealed that the incidence of MB and TEEs was higher in the CKD group. Multiple logistic regression analysis in the CKD group revealed that age and stroke history were independent determinants of TEEs, and low body weight tended to be a determinant of MB. The inappropriate low dose use was higher for apixaban than other DOACs in the CKD group. Consequently, for apixaban, the incidence of stroke was significantly higher in the CKD group than in the non-CKD group.

**Conclusion:** Patients with CKD were characterized by factors that predisposed them to MB and TEEs, such as older age and low body weight. In a single-center registry, only treatment with apixaban in the CKD group led to a higher incidence of TEEs.

### Introduction

Atrial fibrillation (AF) represents the most frequently encountered sustained arrhythmia and has a prevalence rate ranging between 1.5–2% in the general population, which increases to 10% and 18% at 80 and 85 years of age, respectively<sup>1–3</sup>. Direct oral anticoagulant (DOAC) therapy obviates the need for regular laboratory monitoring of patients by international normalized ratio testing owing to a wider therapeutic window, allows once-daily (edoxaban, rivaroxaban) or twice-daily (apixaban, dabigatran) administration and is associated with minimal food and drug interactions. Regarding safety and efficacy, DOAC therapy has been shown to be superior to vitamin K antagonists in patients with nonvalvular AF, though DOAC equally has the risk of intracranial bleeding<sup>4–7</sup>.

Meticulous dose adjustments are not required for DOACs. Nonetheless, considering appropriate dose selection, cut-off values differ according to age, renal function, body weight, and interacting drugs. Both age and chronic kidney disease (CKD) increase the risk of stroke and bleeding during antithrombotic treatment in patients with AF<sup>8</sup>, which might cause the prescription of an inappropriately low dose. In our database of a single-center registry, 23% of patients with AF treated with a DOAC received an inappropriate dose<sup>9</sup>.

In addition, patients with CKD are predisposed to cardiac rhythm disorders (e.g., AF and atrial flutter) and carry an increased burden of AF compared with those without CKD (10). The prevalence rate of AF remains high and has been estimated to range from 16% to 21% in patients with CKD who are not dependent on dialysis<sup>11–13</sup>.

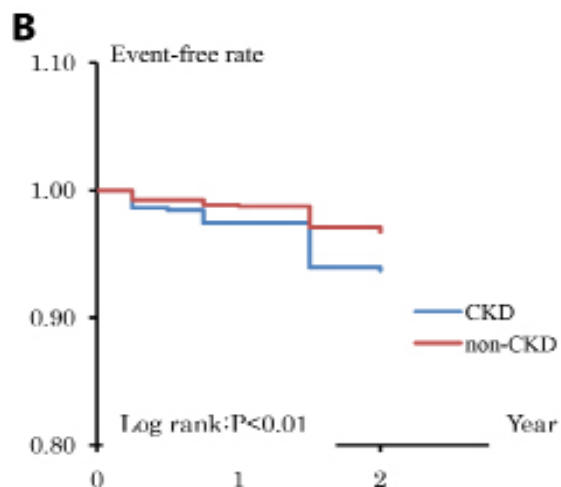
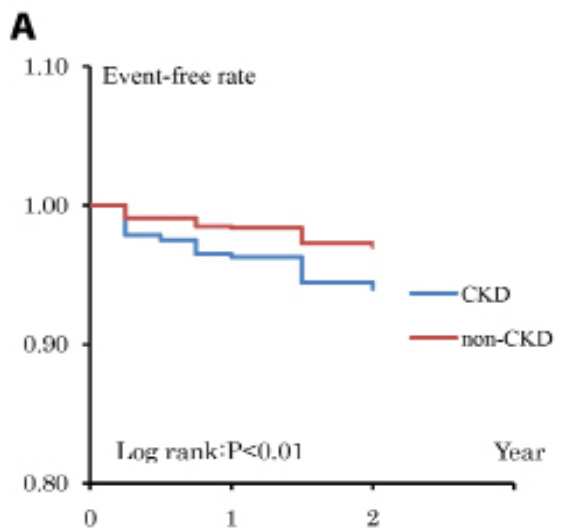
This single-center study aimed to evaluate the current status of the characteristics and clinical outcomes of DOAC prescription, including the rate of appropriate dose use, among patients with AF and CKD.

### Key Words

Direct Oral Anticoagulants, Appropriate Dose, Atrial Fibrillation, Chronic Kidney Disease, Major Bleeding, And Stroke.

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| Patients at risk |      |      |      |      |      |
|------------------|------|------|------|------|------|
| Months           | 0    | 6    | 12   | 18   | 24   |
| <b>CKD</b>       | 812  | 792  | 650  | 588  | 541  |
| <b>Non-CKD</b>   | 1460 | 1348 | 1242 | 1156 | 1120 |

**Table 1:** The comparison between CKD group and non-CKD group

|   | All         | CKD        | Non-CKD group | P value CKD vs Non-CKD |
|---|-------------|------------|---------------|------------------------|
| <b>N</b>  | 2272        | 812        | 1460          | -                      |
| <b>Age (years)</b>                              | 72.3±7.2    | 80.8±6.6   | 67.6±9.9      | <0.01                  |
| <b>Male (%)</b>                                 | 63          | 49         | 72            | <0.01                  |
| <b>Body-weight (kg)</b>                         | 59.4±13.1   | 50.6±9.7   | 64.3±11.5     | <0.01                  |
| <b>Ccr (mL/min)</b>                             | 61.6±24.2   | 39.3±8.4   | 74.2±21.2     | <0.01                  |
| <b>History of CAD</b>                           | 214 (9.4)   | 91(11.2)   | 123(8.4)      | 0.10                   |
| <b>of PAD</b>                                   | 75 (3.3)    | 23(2.8)    | 52(3.5)       | 0.41                   |
| <b>of CHF</b>                                   | 411 (18.1)  | 240 (29.5) | 171 (11.7)    | <0.01                  |
| <b>of diabetes</b>                              | 451(19.8)   | 165(20.3)  | 286(19.5)     | 0.41                   |
| <b>of hypertension</b>                          | 1264 (55.6) | 463(57.1)  | 801(54.8)     | 0.07                   |
| <b>of stroke or TIA</b>                         | 338 (14.8)  | 132(16.2)  | 206(14.1)     | 0.35                   |
| <b>of intracranial bleeding</b>                 | 43 (1.9)    | 13(1.6)    | 30(2.0)       | 0.59                   |
| <b>of GI bleeding</b>                           | 40 (1.8)    | 19(2.3)    | 21(1.4)       | 0.13                   |
| <b>of anti-platelet therapy</b>                 | 304 (13.3)  | 109(15.0)  | 195(13.3)     | 0.50                   |
| <b>Appropriate dose (%)</b>                     | 77.4        | 85.7       | 72.9          | <0.01                  |
| <b>CHADS<sub>2</sub> score</b>                  |             |            |               | <0.01                  |
| 0   | 258 (11.3)  | 20 (2.4)   | 238 (16.3)    |                        |
| 1   | 683 (30.0)  | 128(15.8)  | 555 (38.0)    |                        |
| >2  | 1331(58.5)  | 664(81.8)  | 667(45.7)     |                        |
| Mean  | 1.95±1.32   | 2.57±1.23  | 1.61±1.20     |                        |
| <b>CHA<sub>2</sub>DS<sub>2</sub>-VAsc score</b> |             |            |               | <0.01                  |
| 0   | 75 (3.3)    | 3 (0.4)    | 72 (4.9)      |                        |
| 1   | 310 (13.7)  | 13 (1.6)   | 297 (20.4)    |                        |
| 2   | 414 (18.2)  | 74 (9.1)   | 340 (23.3)    |                        |
| >3  | 1473 (64.8) | 722 (88.9) | 751 (51.4)    |                        |
| mean  | 3.21±1.67   | 4.19±1.45  | 2.67±1.67     |                        |
| <b>HAS-BLED score</b>                           |             |            |               | <0.01                  |
| 0   | 154 (6.8)   | 4 (0.5)    | 150 (10.2)    |                        |
| 1   | 599 (26.3)  | 15 (1.8)   | 584 (40.0)    |                        |
| >2  | 1519 (66.8) | 793 (97.7) | 726 (49.7)    |                        |
| mean  | 1.89±0.96   | 2.18±0.83  | 1.71±0.97     |                        |
| <b>Any cause death (per 100-patient years)</b>  | 2.1         | 4.5        | 1.1           | <0.01                  |
| <b>Major bleeding (per 100-patient years)</b>   | 2.3         | 3.3        | 1.4           | <0.01                  |
| Intracranial hemorrhage                         | 0.3         | 0.2        | 0.3           |                        |
| Gastrointestinal bleeding                       | 1.9         | 3.1        | 1.0           |                        |
| <b>Stroke/emboli (per 100-patient years)</b>    | 2.1         | 3.6        | 1.6           | <0.01                  |
| Ischemic strokes                                | 1.8         | 3.0        | 1.4           |                        |
| TIA   | 0.1         | 0.2        | 0.1           |                        |
| Systemic emboli                                 | 0.1         | 0.2        | 0.0           |                        |
| Hemorrhagic stroke                              | 0.1         | 0.2        | 0.1           |                        |

Data are presented as the mean ± standard deviation or n (%). Ccr, Creatinine clearance; CAD, coronary artery disease; PAD, peripheral artery disease; CHF, congestive heart failure; TIA, transient ischemic attack; CHADS<sub>2</sub> score, Congestive Heart Failure, Hypertension, Age ≥ 75 Years, Diabetes Mellitus, Stroke History; CHA<sub>2</sub>DS<sub>2</sub>-VAsc score, Congestive heart failure, Hypertension, Age ≥ 75 years, Diabetes Mellitus, Previous Stroke/transient ischemic attack, Vascular disease, Age 65-74 years, Sex category; HAS-BLED score, Hypertension, Renal Disease and Liver Disease, Stroke History, Prior Major Bleeding or Predisposition to Bleeding, Age >65, Medication Usage Predisposing to Bleeding score

stenosis were excluded from the study.

Data on patients' baseline characteristics including age, sex, body weight, and renal function (creatinine clearance and creatinine levels); history, including comorbidities; and clinical outcomes during 2-year follow-up after DOAC prescription were collected. Furthermore, CHADS<sub>2</sub> score, CHA<sub>2</sub>DS<sub>2</sub>-VAsc score, and HAS-BLED score were determined<sup>14-16</sup>. The institutional database used in this study was approved by our local ethics committee, and informed consent was obtained from all patients.

**Figure 1:** (A) Kaplan Meier curve for major bleeding between the chronic kidney disease (CKD) group and the non-CKD group. The incidence of major bleeding in the CKD group was significantly higher than that in the non-CKD group (Logrank:  $p < 0.01$ ). (B) Kaplan Meier curve for stroke/systemic emboli between the CKD group and the non-CKD group. The incidence of stroke/systemic emboli in the CKD group was significantly higher than that in the non-CKD group (Logrank:  $p < 0.01$ ).

## Methods

### Study population and data collection

In total, 2,272 consecutive patients who were prescribed DOACs for AF (including paroxysmal AF) between September 2011 and January 2016 at Tachikawa General Hospital, Nagaoka, Japan, were retrospectively analyzed. All patients in the DOAC database were included in the analysis. However, patients with (1) valvular disease requiring surgery, (2) prosthetic mechanical heart valve, and (3) mitral

**Table 2: The comparison of CKD group and non-CKD group in each DOAC (a) Apixaban**

| (a) Apixaban                                   |            |            |         |
|--|------------|------------|---------|
|  | CKD        | Non-CKD    | P value |
| N  | 388 (38.3) | 626 (61.7) |         |
| Age (years)                                    | 81.9±6.3   | 69.6±9.9   | <0.01   |
| Male (%)                                       | 48         | 71         | <0.01   |
| Appropriate dose (%)                           | 79.8       | 75.2       | 0.38    |
| Body-weight (kg)                               | 50.6±9.9   | 63.0±11.4  | <0.01   |
| Ccr (ml/min)                                   | 38.5±7.6   | 72.2±19.2  | <0.01   |
| CHADS <sub>2</sub> score                       | 2.57±1.23  | 1.62±1.27  | <0.01   |
| CHA <sub>2</sub> DS <sub>2</sub> -VASc score   | 4.26±1.41  | 2.77±1.62  | <0.01   |
| HAS-BLED score                                 | 2.12±0.80  | 1.75±0.98  | 0.01    |
| Any cause death                                |            |            |         |
| (per 100-patient years)                        | 5.5        | 1.9        | <0.01   |
| Major bleeding                                 |            |            |         |
| (per 100-patient years)                        | 3.9        | 2.3        | 0.21    |
| Stroke/systemic emboli                         |            |            |         |
| (per 100-patient years)                        | 3.8        | 1.6        | 0.03    |
| (b) Edoxaban                                   |            |            |         |
|  | CKD        | Non-CKD    | P value |
| N  | 107 (40.0) | 160 (60.0) |         |
| Age (years)                                    | 80.1±6.9   | 67.6±8.5   | <0.01   |
| Male (%)                                       | 44         | 73         | <0.01   |
| Appropriate dose (%)                           | 91.5       | 83.8       | 0.25    |
| Body-weight (kg)                               | 50.1±8.8   | 63.0±10.3  | <0.01   |
| Ccr (ml/min)                                   | 36.9±9.9   | 73.0±16.0  | <0.01   |
| CHADS <sub>2</sub> score                       | 2.39±1.26  | 1.69±1.03  | <0.01   |
| CHA <sub>2</sub> DS <sub>2</sub> -VASc score   | 4.02±1.60  | 2.69±1.57  | <0.01   |
| HAS-BLED score                                 | 2.15±0.93  | 1.67±0.99  | <0.01   |
| Any cause death                                |            |            |         |
| (per 100-patient years)                        | 1.5        | 0.0        | 0.58    |
| Major bleeding                                 |            |            |         |
| (per 100-patient years)                        | 3.5        | 1.8        | 0.36    |
| Stroke/systemic emboli (per 100-patient years) | 0.0        | 1.8        | 0.51    |
| (c) Rivaroxaban                                |            |            |         |
|  | CKD        | Non-CKD    | P value |
| N  | 177 (35.6) | 321 (64.4) |         |
| Age (years)                                    | 80.5±6.7   | 67.0±10.3  | <0.01   |
| Male (%)                                       | 48         | 75         | <0.01   |
| Appropriate dose (%)                           | 89.8       | 64.2       | <0.01   |
| Body-weight (kg)                               | 51.1±9.9   | 66.2±11.5  | <0.01   |
| Ccr (ml/min)                                   | 39.1±8.5   | 76.0±20.4  | <0.01   |
| CHADS <sub>2</sub> score                       | 2.54±1.25  | 1.61±1.10  | <0.01   |
| CHA <sub>2</sub> DS <sub>2</sub> -VASc score   | 4.14±1.45  | 2.65±1.42  | <0.01   |
| HAS-BLED score                                 | 2.20±0.76  | 1.75±0.99  | <0.01   |
| Any cause death                                |            |            |         |
| (per 100-patient years)                        | 4.5        | 1.0        | 0.024   |
| Major bleeding                                 |            |            |         |
| (per 100-patient years)                        | 2.2        | 0.6        | 0.14    |
| Stroke/systemic emboli                         |            |            |         |
| (per 100-patient years)                        | 3.2        | 1.4        | 0.78    |

**(d) Dabigatran**

|  | CKD       | Non-CKD   | P value |
|--|-----------|-----------|---------|
| N  | 140(28.3) | 353(71.7) |         |
| Age (years)                                  | 79.1±6.9  | 66.1±9.5  | <0.01   |
| Male (%)                                     | 54        | 72        | <0.01   |
| Appropriate dose (%)                         | 93.6      | 72.6      | <0.01   |
| Body-weight (kg)                             | 50.2±9.1  | 63.0±10.3 | <0.01   |
| Ccr (ml/min)                                 | 40.4±7.3  | 77.9±26.0 | <0.01   |
| CHADS <sub>2</sub> score                     | 2.58±1.35 | 1.57±1.13 | <0.01   |
| CHA <sub>2</sub> DS <sub>2</sub> -VASc score | 4.11±1.51 | 2.52±1.47 | <0.01   |
| HAS-BLED score                               | 2.24±0.96 | 1.63±0.94 | <0.01   |
| Any cause death                              |           |           |         |
| (per 100-patient years)                      | 0.6       | 0.1       | 0.45    |
| Major bleeding                               |           |           |         |
| (per 100-patient years)                      | 2.5       | 1.4       | 0.48    |
| Stroke/systemic emboli                       |           |           |         |
| (per 100-patient years)                      | 3.8       | 1.7       | 0.23    |

Data are presented as the mean ± standard deviation or n (%). Ccr, Creatinine clearance; CHADS<sub>2</sub> score, Congestive Heart Failure, Hypertension, Age ≥ 75 Years, Diabetes Mellitus, Stroke History; CHA<sub>2</sub>DS<sub>2</sub>-VASc score, Congestive heart failure, Hypertension, Age ≥ 75 years, Diabetes Mellitus, Previous Stroke/transient ischemic attack, Vascular disease, Age 65-74 years, Sex category; HAS-BLED score, Hypertension, Renal Disease and Liver Disease, Stroke History, Prior Major Bleeding or Predisposition to Bleeding, Age >65, Medication Usage Predisposing to Bleeding score

**DOAC prescriptions**

Four DOACs (apixaban [n=1,014], edoxaban [n=267], rivaroxaban [n=498], and dabigatran [n=493]) were prescribed for AF at the discretion of the physician. The prescription dose was basically in accordance with the manufacturer's label recommendations in Japan, including the reduced dose recommendations. For apixaban, a reduced dose is recommended for patients with at least two of the following characteristics: age ≥ 80 years, weight ≤ 60 kg, or serum creatinine level ≥ 1.5 mg/dL. For edoxaban, a reduced dose is recommended for patients with moderate or severe renal impairment (creatinine clearance of 15–49 mL/min), patients weighing ≤ 60 kg, and patients being concomitantly treated with interacting drugs (e.g., verapamil). For rivaroxaban, a reduced dose is recommended for patients with moderate renal impairment (creatinine clearance of 15–49 mL/min). For dabigatran, a reduced dose is considered for elderly patients aged ≥ 70 years, patients with moderate renal impairment (creatinine clearance of 30–49 mL/min), patients concomitantly treated with interacting drugs (e.g., verapamil), and patients at a high risk of bleeding. However, an inappropriately high or low dose may similarly be prescribed based on the doctor's discretion. Hence, in this study, classifications of DOAC prescriptions, including an appropriate dose and an inappropriate high or low dose, were considered based on the manufacturer's label recommendations in Japan, as mentioned earlier.

**Study design**

In this study, patients were classified into the following two groups according to a cut-off value of 50 mL/min for creatinine clearance at registration: non-CKD group (n=1460) and CKD group (n=812). A comparison of baseline characteristics, the ratio of the appropriate dose used to the inappropriate dose use, including an inappropriate low dose, and clinical outcomes between the two groups were determined for all four DOACs and each type of DOAC. In this study, if the types of DOAC were changed or the DOAC was discontinued, the patients were excluded from the cohorts but included in the data analysis.

**Table 3: The prescription among four DOACs**

| (a) The rate of appropriate dosing among four DOACs   |            |            |             |            |         |
|---|------------|------------|-------------|------------|---------|
|   | Apixaban   | Edoxaban   | Rivaroxaban | Dabigatran |         |
| <b>Appropriate dose(%)</b>  | 781 (77.1) | 230 (86.1) | 364 (73.0)  | 385 (78.1) |         |
| <b>Inappropriate dose</b>   |            |            |             |            |         |
| <b>Low dose (%)</b>   | 229 (22.5) | 33 (12.4)  | 119 (23.9)  | 102 (20.7) |         |
| <b>High dose (%)</b>  | 4 (0.4)    | 4 (1.5)    | 15 (3.1)    | 6 (1.2)    |         |
| (b) The comparison of the rate of appropriate dosing between the CKD and non-CKD among four DOACs |            |            |             |            |         |
|   | Apixaban   | Edoxaban   | Rivaroxaban | Dabigatran | P value |
| <b>CKD (%)</b>  | 79.8*      | 91.5       | 89.8        | 93.6       | <0.01   |
| <b>non-CKD (%)</b>  | 75.2       | 83.8†      | 64.2        | 72.6       | <0.01   |
| <b>P value</b>  | 0.38       | 0.25       | <0.01       | <0.01      |         |

CKD, chronic kidney disease.

\*P<0.05 compared with Edoxaban, Rivaroxaban, and Dabigatran

†P<0.05 compared with Apixaban, Rivaroxaban, and Dabigatran

### Clinical outcomes

The primary outcome was stroke, including transient ischemic attacks (TIA) and hemorrhagic stroke and systemic embolism. The main safety outcome was major bleeding, defined using the Randomized Evaluation of Long-Term Anticoagulant Therapy criteria<sup>5,10</sup>. Furthermore, the rate of any cause of death was determined.

### Statistical analysis

Normally distributed continuous data are presented as means  $\pm$  standard deviations, whereas categorical data are expressed as counts with percentages. Non-parametric data, such as CHADS<sub>2</sub> score, CHA<sub>2</sub>DS<sub>2</sub>-VASc score, and HAS-BLED score, are presented as median value (interquartile range). For all four DOACs, baseline characteristics were compared using analysis of variance (followed by multiple comparisons using Dunn's method) for parametric data and the chi-squared test for categorical data. For each type of DOACs, baseline characteristics of the non-CKD and CKD groups were compared using Student's t-test and Fisher's exact test for continuous and categorical data, respectively. Furthermore, multivariate logistic regression was performed to determine the predictors for major bleeding and stroke in both non-CKD and CKD groups. Finally, we compared the clinical outcomes between the non-CKD and CKD groups using Kaplan-Meier event rate curves. A two-sided p-value <0.05 was considered statistically significant for all analyses.

## Results

### Baseline characteristics (Table 1)

In this study, the mean age of patients was 72 $\pm$ 10 years, with CHADS<sub>2</sub>, CHA<sub>2</sub>DS<sub>2</sub>-VASc, and HAS-BLED scores of 1.95 $\pm$ 1.32, 3.21 $\pm$ 1.67, and 1.89 $\pm$ 0.96, respectively. The mean follow-up time was 1.6 years. Overall, the incidence rates of major bleeding and thromboembolic events were 2.3 and 2.1 per 100 patient-years, respectively.

**Table 4: Multivariate logistics analysis**

| A) non-CKD group   |            |                         |         |
|--|------------|-------------------------|---------|
| (1) A predictor for major bleeding in the non-CKD patients         |            |                         |         |
|  | Odds ratio | 95% confidence interval | P value |
| Age (years)  | 1.04       | 0.99-1.10               | 0.08    |
| Male   | 1.46       | 0.48-2.57               | 0.43    |
| Appropriate dose use   | 0.64       | 0.33-6.74               | 0.16    |
| Body weight (kg)   | 0.98       | 0.90-1.00               | 0.62    |
| Ccr (ml/min)   | 0.99       | 0.92-1.01               | 0.85    |
| Antiplatelet therapy   | 0.82       | 0.30-2.97               | 0.70    |
| Prior history of bleeding  | 2.40       | 0.99-5.11               | 0.06    |
| Prior history of stroke  | 2.16       | 0.85-6.76               | 0.11    |
| (2) A predictor for stroke/systemic emboli in the non-CKD patients |            |                         |         |
|  | Odds ratio | 95% confidence interval | P value |
| Age (years)  | 1.06       | 1.01-1.11               | 0.02    |
| Male   | 1.43       | 0.59-3.46               | 0.41    |
| Appropriate dose use   | 0.76       | 0.37-1.54               | 0.45    |
| Body weight (kg)   | 1.00       | 0.96-1.04               | 0.65    |
| Ccr (ml/min)   | 0.98       | 0.95-1.01               | 0.42    |
| Antiplatelet therapy   | 1.71       | 0.80-3.63               | 0.16    |
| Prior history of bleeding  | 0.26       | 0.03-2.00               | 0.19    |
| Prior history of stroke  | 2.77       | 1.15-6.73               | 0.02    |
| B) CKD group   |            |                         |         |
| (1) A predictor for major bleeding in the CKD patients             |            |                         |         |
|  | Odds ratio | 95% confidence interval | P value |
| Age (years)  | 1.02       | 0.94-1.08               | 0.76    |
| Male   | 1.11       | 0.48-2.57               | 0.80    |
| Appropriate dose use   | 1.51       | 0.33-6.74               | 0.58    |
| Body weight (kg)   | 0.95       | 0.90-1.00               | 0.08    |
| Ccr (ml/min)   | 0.97       | 0.92-1.01               | 0.14    |
| Antiplatelet therapy   | 1.06       | 0.38-2.97               | 0.90    |
| Prior history of bleeding  | 0.89       | 0.24-3.17               | 0.85    |
| Prior history of stroke  | 1.13       | 0.45-2.80               | 0.78    |
| (2) A predictor for stroke/systemic emboli in the CKD patients     |            |                         |         |
|  | Odds ratio | 95% confidence interval | P value |
| Age (years)  | 1.08       | 1.01-1.16               | 0.02    |
| Male   | 0.89       | 0.36-2.15               | 0.80    |
| Appropriate dose use   | 1.23       | 0.33-4.59               | 0.75    |
| Body weight (kg)   | 1.02       | 0.97-1.07               | 0.37    |
| Ccr (ml/min)   | 1.00       | 0.95-1.05               | 0.87    |
| Antiplatelet therapy   | 1.97       | 0.78-4.96               | 0.14    |
| Prior history of bleeding  | 1.71       | 0.54-5.43               | 0.35    |
| Prior history of stroke  | 2.32       | 1.01-5.13               | 0.04    |

Ccr, creatinine clearance.

### Comparison of baseline characteristics between the non-CKD and CKD groups for all four DOACs and for each type of DOACs (Tables 1 and 2)

Overall, the CKD group had significantly higher mean age and CHADS<sub>2</sub>, CHA<sub>2</sub>DS<sub>2</sub>-VASc, and HAS-BLED scores than the non-CKD group. Nevertheless, body weight was significantly lower in the CKD group than in the non-CKD group. In our database of a single-center registry, 23% of patients with AF treated with a DOAC received an inappropriate dose. Regarding the analysis of inappropriate dose use,



the use of inappropriate low dose was obviously dominant compared to the use of inappropriate high dose (94.4 vs. 5.6%) (Table 3 (a)). On the contrary, the ratio of appropriatedose use was significantly higher in the CKD group than in the non-CKD group (85.7 vs.72.9%,  $p<0.01$ ).

However, the ratio of appropriate dose use in the CKD group was significantly lower for apixaban than other DOACs (Table 3 (b)). In contrast, the ratio of appropriate dose use in the non-CKD group was significantly higher for edoxaban thanfor other DOACs. Based on the definition of CKD in this study, creatinine clearance was significantly lower in the CKD group than in the non-CKD group ( $39.3\pm 8.4$  vs.  $74.2\pm 21.2$  mL/min,  $p<0.01$ ).

Regarding each type of DOAC, a similar tendency was observed in the comparison of baseline characteristics between the CKD and non-CKD groups—i.e., significantly higher values for mean age, appropriate dose use, and CHADS<sub>2</sub>, CHA<sub>2</sub>DS<sub>2</sub>-VASc, and HAS-BLED scores in the CKD group and significantly lower body weight in the CKD group. However, for apixaban, the ratio of appropriate dose use in the CKD group was comparable to that in the non-CKD group (79.8 vs.75.2%,  $p=0.38$ ).

#### Comparison of clinical outcomes between the non-CKD and CKD groups for all four DOACs and for each type of DOACs (Tables 1 and 2)

Overall, the CKD group showed significantly higher incidence rates of death from any cause, including major bleeding and stroke/systemic embolism, than the non-CKD group (death from any cause: 4.5 vs. 1.1 per 100 patient-years,  $p<0.01$ ; major bleeding: 3.3 vs. 1.4 per 100 patient-years,  $p<0.01$ ; stroke/systemic embolism: 3.6 vs. 1.6 per 100 patient-years,  $p<0.01$ ). The Kaplan–Meier event rate curves indicated that major bleeding and stroke/systemic embolism were frequently observed in the CKD group (Figure 1). In addition, multiple logistic regression analysis was performed in both groups. In the CKD group, age and previous stroke history were independent determinants of stroke/systemic embolism, and low body weight had a tendency to be a determinant of major bleeding (Table 4). On the contrary, in the non-CKD group, age and prior stroke history were independent determinants of stroke/systemic embolism, and age and prior bleeding history tended to be determinants of major bleeding (Table 4). Concerning each type of DOAC, for all DOACs excluding apixaban, the incidence rates of major bleeding and stroke/systemic embolism in the non-CKD group were comparable to those in the CKD group. In contrast, for apixaban, the incidence rate of stroke/systemic embolism tended to be higher in the CKD group than in the non-CKD group (3.8 vs. 1.6 per 100 patient-years,  $p=0.03$ ).

#### Discussion

The major findings of this study were as follows: (1) The incidence of major bleeding and stroke/systemic embolism was higher in patients with CKD than in those without CKD. (2) Multivariate analyses revealed that low body weight tended to be a predictor of major bleeding, whereas age was a predictor of stroke/systemic embolism in the CKD group. (3) Only patients with CKD on apixaban treatment had a significantly higher incidence of stroke/systemic embolism than those without CKD.

#### The relationship among AF, CKD, age, and body weight

Several studies have reported a substantial relationship among AF, CKD, age, and body weight<sup>1-3,10,17-19</sup>, and older age is associated with the occurrence of AF<sup>1-3</sup>. CKD and AF share numerous risk factors and conditions that promote their incidence. Therefore, it is established that CKD increases the incidence of AF<sup>10,19</sup>. In contrast, AF elimination by catheter ablation was associated with improvement in renal function at 1-year follow-up in patients with mild to moderate renal dysfunction<sup>20</sup>, indicating that AF equally accelerates CKD progression.

Similarly, a relationship between age and CKD has been reported<sup>21</sup>. The absolute glomerular filtration rate (GFR) value decreased with an increase in age<sup>20</sup>. Furthermore, Japanese patients with AF are generally small and lean<sup>22</sup>. According to a previous report, frailty in elderly patients increased with an increase in age<sup>23</sup>. Body loss has equally been defined as one of the criteria for frailty<sup>24</sup>. Thus, there seems to be a relationship among CKD, age, and body weight somewhat, and we have to consider that AF patients with CKD tend to be older and have lower body weight. In this study, AF patients with CKD were older and had lower body weight than those without, which is inconsistent with the findings of the aforementioned studies.

#### Effect of CKD, age, and body weight on major bleeding and stroke/systemic embolism in patients with AF

Several reports have described the association between renal function and major bleeding or stroke/systemic embolism<sup>10,25-32</sup>. In addition, older age is considered a predictor of major bleeding events<sup>33,34</sup> and stroke/systemic embolism<sup>33,35,36</sup>. In addition, it is actually included as one of the factors for the CHADS<sub>2</sub>, CHA<sub>2</sub>DS<sub>2</sub>-VASc, and HAS-BLED scores. In this study, age was an independent predictor of stroke/systemic embolism, agreeing with the abovementioned finding. Low body weight tended to be a predictor of major bleeding in this study. Nevertheless, the relationship between body weight and major bleeding remains fairly controversial<sup>37-40</sup>. However, several studies have described that lean patients were at higher risk for bleeding complications<sup>41-44</sup>. DOACs seemed safer and more effective than vitamin K antagonists, even in patients with low body weight<sup>45</sup>. However, the risk of increased bleeding by DOACs in underweight patients has been highlighted in previous studies<sup>46,47</sup>. In summary, high age and low body weight, which are specific characteristics of patients with CKD, may be closely associated with an increase in major bleeding or stroke/systemic embolism.

Several previous reports have described that a combination of vitamin K antagonist and antiplatelet therapy is associated with a high annual risk of fatal and non-fatal bleeding episodes<sup>48,49</sup>. However, an observational study on real-world Asian patients treated with DOAC and antiplatelet agents showed no incremental bleeding risk (of additional antiplatelet therapy to DOAC) in a large pooled population<sup>50</sup>. Therefore, our study findings may be compatible with previous results.

#### Use of inappropriate low dose in patients with CKD

For apixaban, the incidence of stroke was higher in AF patients with CKD than in those without CKD in the present registry, and this finding might be very suggestive. The prescription of an inappropriate low dose is often observed in the real world. Theoretically, an inappropriate low

dose might lead to increased safety but decreased effectiveness<sup>51</sup>.

The renal clearance ratio of apixaban is approximately 25%<sup>52</sup>. A sub-analysis of ARISTOTLE trial data revealed that treatment with apixaban led to an apparent reduction in the incidence of major bleeding in patients with creatinine clearance <50 mL/min compared to treatment with vitamin K antagonists. Thus, apixaban prescription might be logically effective in patients with CKD. However, the use of appropriate apixaban dose was significantly lower in the present registry. This is probably because patients with CKD have been reported to be predisposed to major bleeding, as mentioned earlier; additionally, the manufacturer's label recommendations for the reduced dose of apixaban (at least two of the following characteristics: age ≥80 years, weight ≤60 kg, or serum creatinine level ≥1.5 mg/dL) are more intricate than those for other DOACs. In addition, the reduced dose of apixaban is one-half the standard dose (2.5 mg vs. 5 mg). In contrast, the reduced dose of rivaroxaban and dabigatran approximately ranges between 70–75% of the standard dose (15 mg vs. 20 mg and 110 mg vs. 220 mg, respectively). Furthermore, the number of patients in the low-dose DOAC groups of the ARISTOTLE trials was not sufficient enough to establish superiority, equivalence, or non-inferiority<sup>53</sup>. Though speculative, there might be an association between dose regimens and medication compliance. A systemic review described that the prescribed number of doses per day was inversely related to compliance<sup>54</sup>.

These findings could explain the possible increase in the incidence of stroke/systemic embolism by an inappropriate low dose of apixaban<sup>55,56</sup>. The difference in inappropriate low dose among the four DOACs might have influenced the results of this study; nonetheless, there was low statistical power for the evaluation of the effects of inappropriate low dose on clinical outcomes. Therefore, future studies involving larger populations with long-term follow-up will be needed.

### Limitations

This study has several limitations. First, although this study was retrospective in design, data on clinical outcomes, including those at 2-year follow-up interval, were prospectively collected from all patients. However, propensity score matching was not adopted because the number of patients greatly varied for the four DOACs. Therefore, the impact of renal function on clinical events remains unclear. However, the main objective of this study was to reveal the characteristics of AF patients with CKD. Second, because this study was retrospective, clinical events, such as minor bleeding, might not have been completely detected. Third, the number of patients with CKD was small. In addition, the definition of CKD involves two important factors: estimated-GFR (e-GFR) and proteinuria. However, in this study, because proteinuria data were unavailable due to the retrospective design, and the creatinine clearance rate has been applied as the index of renal function in the prescription of most DOACs, the creatinine clearance rate was exclusively adopted in this study. The impact of CKD on the clinical outcomes should have been analyzed, considering both e-GFR and proteinuria. Fourth, in this study, CKD was defined as a creatinine clearance of less than 50 mL/min. According to this definition, all CKD patients taking dabigatran, rivaroxaban, and edoxaban met the appropriate low dose criteria. On the contrary, some CKD patients taking apixaban did not meet the appropriate low dose criteria, which may have been due to the biased protocol. However, this study aimed to evaluate the current status of the characteristics

and clinical outcome of DOAC prescription, including the rate of use of appropriate doses among patients with AF and CKD. Therefore, these limitations warrant future studies involving larger populations with long-term follow-up.

### Conclusions

Patients with CKD were characterized by factors that predisposed them to major bleeding and thromboembolic events, such as older age and low body weight. In a single-center registry, only treatment with apixaban in the CKD group led to a higher incidence of thromboembolic events, indicating the importance of the use of an appropriate dose.

### Declarations

The authors declare that there is no conflict of interest.

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